

**Annexure 3**  
**FORM NO. MGT 9**  
**EXTRACT OF ANNUAL RETURN**  
**As on financial year ended on 31.03.2019**

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

**I. REGISTRATION & OTHER DETAILS:**

|   |  |  |
|---|--|--|
| 1 | CIN  | L22122DL1993PLC123728  |
| 2 | Registration Date  | November 11, 1993  |
| 3 | Name of the Company  | ETT Limited  |
| 4 | Category/Sub-category of the Company                                       | Public Limited Company   |
| 5 | Address of the Registered office & contact details                         | 17, Hemkunt Colony, New Delhi - 110048<br>Tel and Fax No. : +91 11 4656 7575<br>E-mail : secretarial@ettgroup.in   |
| 6 | Whether listed company   | Yes  |
| 7 | Name, Address & contact details of the Registrar & Transfer Agent, if any. | Beetal Financial & Computer Services (P) Ltd.<br>Beetal House, 3rd Floor, 99, Madangir,<br>Behind Local Shopping Centre, Near Dada Harsukhdas<br>Mandir, New Delhi - 110 062<br>Tel No.: +91 11 2996 1281-83<br>E-mail : beetal@rediffmail.com |

**II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY**

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

| S. No. | Name and Description of main products / services | NIC Code of the Product/service | % to total turnover of the company |
|--------|--|---------------------------------|------------------------------------|
| 1      | Property Developers and Allied Services          | 68100                           | 98.55                              |

| III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES |                                 |         |                                |                  | NA                 |
|---|---------------------------------|---------|--------------------------------|------------------|--------------------|
| S.No  | Name and address of the Company | CIN/GLN | Holding/ Subsidiary/ Associate | % of shares held | Applicable Section |
|   |                                 |         |                                |                  |                    |

**IV. SHARE HOLDING PATTERN**

(Equity share capital breakup as percentage of total equity)

**(i) Category-wise Share Holding**

|           | Category of Shareholders   | No. of Shares held at the beginning of the year<br>[As on 31-March-2018] |          |                  |                   | No. of Shares held at the end of the year<br>[As on 31-March-2019] |          |                  |                   | % Change during the year |
|-----------|--|--|----------|------------------|-------------------|--|----------|------------------|-------------------|--------------------------|
|           |  | Demat  | Physical | Total            | % of Total Shares | Demat  | Physical | Total            | % of Total Shares |                          |
| <b>A.</b> | <b>Promoters</b>   |  |          |                  |                   |  |          |                  |                   |                          |
| (1)       | Indian   |  |          |                  |                   |  |          |                  |                   |                          |
| a)        | Individual/ HUF  | 61,11,000  | -        | 61,11,000        | 58.94%            | 61,11,000  | -        | 61,11,000        | 58.94%            | 0.00%                    |
| b)        | Central Govt   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| c)        | State Govt(s)  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| d)        | Bodies Corp.   | 11,56,200  | -        | 11,56,200        | 11.15%            | 11,56,200  | -        | 11,56,200        | 11.15%            | 0.00%                    |
| e)        | Banks / FI   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| f)        | Any other  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
|           | <b>Sub Total (A) (1)</b>   | <b>72,67,200</b>   | <b>-</b> | <b>72,67,200</b> | <b>70.09%</b>     | <b>72,67,200</b>   | <b>-</b> | <b>72,67,200</b> | <b>70.09%</b>     | <b>0.00%</b>             |
| (2)       | Foreign  |  |          |                  |                   |  |          |                  |                   |                          |
| a)        | NRI Individuals  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| b)        | Other Individuals  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| c)        | Bodies Corp.   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| d)        | Any other  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
|           | Sub Total (A) (2)  | -  | -        | -                | 0.00%             | -  | -        | -                | 0.00%             | 0.00%                    |
|           | <b>TOTAL (A)</b>   | <b>72,67,200</b>   | <b>-</b> | <b>72,67,200</b> | <b>70.09%</b>     | <b>72,67,200</b>   | <b>-</b> | <b>72,67,200</b> | <b>70.09%</b>     | <b>0.00%</b>             |
| <b>B.</b> | <b>Public Shareholding</b>   |  |          |                  |                   |  |          |                  |                   |                          |
| <b>1.</b> | <b>Institutions</b>  |  |          |                  |                   |  |          |                  |                   |                          |
| a)        | Mutual Funds   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| b)        | Banks / FI   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| c)        | Central Govt   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| d)        | State Govt(s)  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| e)        | Venture Capital Funds  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| f)        | Insurance Companies  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| g)        | FIs  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| h)        | Foreign Venture Capital Funds  |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| i)        | Others (specify)   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
|           | Sub-total (B)(1)   | -  | -        | -                | 0.00%             | -  | -        | -                | 0.00%             | 0.00%                    |
| <b>2.</b> | <b>Non-Institutions</b>  |  |          |                  |                   |  |          |                  |                   |                          |
| a)        | Bodies Corp.   |  |          |                  |                   |  |          |                  |                   |                          |
| i)        | Indian   | 81000  | 89970    | 1,70,970         | 1.65%             | 81005  | 89970    | 1,70,975         | 1.65%             | 0.00%                    |
| ii)       | Overseas   |  |          |                  | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |
| b)        | Individuals  |  |          |                  |                   |  |          |                  |                   |                          |
| i)        | Individual shareholders holding nominal share capital upto Rs. 1 lakh        | 13,630   | 6,01,952 | 6,15,582         | 5.94%             | 13,495   | 6,01,952 | 6,15,447         | 5.94%             | -0.02%                   |
| ii)       | Individual shareholders holding nominal share capital in excess of Rs 1 lakh | 20,66,185  | 2,06,660 | 22,72,845        | 21.92%            | 20,66,185  | 2,06,660 | 22,72,845        | 21.92%            | 0.00%                    |
| c)        | Others (specify)   |  |          |                  |                   |  |          |                  |                   |                          |
|           | HUF  | 42,063   | -        | 42,063           | 0.41%             | 42,188   | -        | 42,188           | 0.41%             | 0.30%                    |
|           | Non Resident Indians   |  |          | -                | 0.00%             |  |          | -                | 0.00%             | 0.00%                    |

**ETT LIMITED**

CIN: L22122DL1993PLC123728



|  | Category of Shareholders                  | No. of Shares held at the beginning of the year<br>[As on 31-March-2018] |          |             |                   | No. of Shares held at the end of the year<br>[As on 31-March-2019] |          |             |                   | % Change during the year |
|--|---|--|----------|-------------|-------------------|--|----------|-------------|-------------------|--------------------------|
|  |   | Demat  | Physical | Total       | % of Total Shares | Demat  | Physical | Total       | % of Total Shares |                          |
|  | Overseas Corporate Bodies                 |  |          | -           | 0.00%             |  |          | -           | 0.00%             | 0.00%                    |
|  | Foreign Nationals                         |  |          | -           | 0.00%             |  |          | -           | 0.00%             | 0.00%                    |
|  | Clearing Members                          | -  | -        | -           | 0.00%             | 5  | -        | 5           | 0.00%             | 0.00%                    |
|  | Trusts                                    |  |          | -           | 0.00%             |  |          | -           | 0.00%             | 0.00%                    |
|  | Foreign Bodies - D R                      |  |          | -           | 0.00%             |  |          | -           | 0.00%             | 0.00%                    |
|  | Sub-total (B)(2)                          | 22,02,878  | 8,98,582 | 31,01,460   | 29.91%            | 22,02,878  | 8,98,582 | 31,01,460   | 29.91%            | 0.00%                    |
|  | Total Public (B)                          | 22,02,878  | 8,98,582 | 31,01,460   | 29.91%            | 22,02,878  | 8,98,582 | 31,01,460   | 29.91%            | 0.00%                    |
|  | C. Shares held by Custodian for GDR & ADR |  |          | -           | 0.00%             |  |          | -           | 0.00%             | 0.00%                    |
|  | Grand Total (A+B+C)                       | 94,70,078  | 8,98,582 | 1,03,68,660 | 100.00%           | 94,70,078  | 8,98,582 | 1,03,68,660 | 100.00%           | 0.00%                    |

**(ii) Shareholding of Promoters**

| SN | Shareholder's Name          | Shareholding at the beginning of the year |                                  |  | Shareholding at the end of the year |                                  |  | % change in shareholding during the year |
|----|-----------------------------|---|----------------------------------|--|-------------------------------------|----------------------------------|--|--|
|    |                             | No. of Shares                             | % of total Shares of the company | % of Shares Pledged/ encumbered to total share | No. of Shares                       | % of total Shares of the company | % of Shares Pledged / encumbered to total shares |  |
| 1  | Alka Sethi                  | 1,12,500                                  | 1.085%                           | 0  | 1,12,500                            | 1.085%                           | 0  | 0.00%                                    |
| 2  | Gurupreet Sangla            | 9,00,000                                  | 8.680%                           | 0  | 9,00,000                            | 8.680%                           | 0  | 0.00%                                    |
| 3  | Harvinder Singh             | 9,00,000                                  | 8.680%                           | 0  | 9,00,000                            | 8.680%                           | 0  | 0.00%                                    |
| 4  | Kuldeep Kaur                | 3,75,000                                  | 3.617%                           | 0  | 3,75,000                            | 3.617%                           | 0  | 0.00%                                    |
| 5  | Shakuntla Arora             | 88,200                                    | 0.851%                           | 0  | 88,200                              | 0.851%                           | 0  | 0.00%                                    |
| 6  | Sandeep Sethi               | 15,41,400                                 | 14.866%                          | 0  | 15,41,400                           | 14.866%                          | 0  | 0.00%                                    |
| 7  | Sanjay Arora                | 15,93,900                                 | 15.372%                          | 0  | 15,93,900                           | 15.372%                          | 0  | 0.00%                                    |
| 8  | Satvinder Kaur              | 6,00,000                                  | 5.787%                           | 0  | 6,00,000                            | 5.787%                           | 0  | 0.00%                                    |
| 9  | Amici Securities Ltd.       | 1,47,000                                  | 1.418%                           | 0  | 1,47,000                            | 1.418%                           | 0  | 0.00%                                    |
| 10 | Appreciate Fincap Pvt. Ltd. | 8,43,600                                  | 8.136%                           | 0  | 8,43,600                            | 8.136%                           | 0  | 0.00%                                    |
| 11 | Drishti Overseas Pvt. Ltd.  | 1,65,600                                  | 1.597%                           | 0  | 1,65,600                            | 1.597%                           | 0  | 0.00%                                    |

**(iii) Change in Promoters' Shareholding (please specify, if there is no change)****No Change**

| SN | Particulars                  | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                              |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1. | At the beginning of the year |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | Changes during the year      |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year       |      |        | -   | 0.00%             | -                                       | 0.00%             |

**(iv) Shareholding Pattern of top ten Shareholders**

(Other than Directors, Promoters and Holders of GDRs and ADRs):

| SN | For each of the Top 10 shareholders | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|-------------------------------------|------|--------|---|-------------------|---|-------------------|
|    |                                     |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | Naveen Bajaj                        |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 1,61,240                                  | 1.56%             | 1,61,240                                | 1.56%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 1,61,240                                  | 1.56%             | 1,61,240                                | 1.56%             |
| 2  | Kamal Singh                         |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 1,09,520                                  | 1.06%             | 1,09,520                                | 1.06%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 1,09,520                                  | 1.06%             | 1,09,520                                | 1.06%             |
| 3  | Prashant Aggarwal                   |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 87,000                                    | 0.84%             | 87,000                                  | 0.84%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 87,000                                    | 0.84%             | 87,000                                  | 0.84%             |
| 4  | Ram Kishan                          |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 74,730                                    | 0.72%             | 74,730                                  | 0.72%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 74,730                                    | 0.72%             | 74,730                                  | 0.72%             |
| 5  | Neeru Sikka                         |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 63,720                                    | 0.61%             | 63,720                                  | 0.61%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 63,720                                    | 0.61%             | 63,720                                  | 0.61%             |
| 6  | Indomonex Private Limited           |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 53,000                                    | 0.51%             | 53,000                                  | 0.51%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 53,000                                    | 0.51%             | 53,000                                  | 0.51%             |
| 7  | Sanjay Sharma                       |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
| 8  | Baldev R. Jaggi                     |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
| 9  | Rajesh Aggarwal                     |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
| 10 | Harish Mahajan                      |      |        |   |                   |   |                   |
|    | At the beginning of the year        |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |
|    | Changes during the year             |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year              |      |        | 51,000                                    | 0.49%             | 51,000                                  | 0.49%             |

(v) **Shareholding of Directors and Key Managerial Personnel:**

| SN | Shareholding of each Directors and each Key Managerial Personnel | Date | Reason | Shareholding at the beginning of the year |                   | Cumulative Shareholding during the year |                   |
|----|--|------|--------|---|-------------------|---|-------------------|
|    |  |      |        | No. of shares                             | % of total shares | No. of shares                           | % of total shares |
| 1  | Sandeep Sethi, Managing Director                                 |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 15,41,400                                 | 14.87%            | 15,41,400                               | 14.87%            |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 15,41,400                                 | 14.87%            | 15,41,400                               | 14.87%            |
| 2  | Gurupreet Sangla, Jt. Managing Director                          |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 9,00,000                                  | 8.68%             | 9,00,000                                | 8.68%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 9,00,000                                  | 8.68%             | 9,00,000                                | 8.68%             |
| 3  | Harvinder Singh, Director  |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 9,00,000                                  | 8.68%             | 9,00,000                                | 8.68%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 9,00,000                                  | 8.68%             | 9,00,000                                | 8.68%             |
| 4  | Sanjay Arora, Director   |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 15,93,900                                 | 15.37%            | 15,93,900                               | 15.37%            |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 15,93,900                                 | 15.37%            | 15,93,900                               | 15.37%            |
| 5  | Aman Batra, Director   |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 48,600                                    | 0.47%             | 48,600                                  | 0.47%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 48,600                                    | 0.47%             | 48,600                                  | 0.47%             |
| 6  | Puniti Sharma, CFO & Company Secretary                           |      |        |   |                   |   |                   |
|    | At the beginning of the year                                     |      |        | 26,520                                    | 0.26%             | 26,520                                  | 0.26%             |
|    | Changes during the year  |      |        | -   | 0.00%             | -                                       | 0.00%             |
|    | At the end of the year   |      |        | 26,520                                    | 0.26%             | 26,520                                  | 0.26%             |

**V. INDEBTEDNESS**

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. in Rs.)

| Particulars  | Secured Loans excluding deposits | Unsecured Loans | Deposits | Total Indebtedness |
|--|----------------------------------|-----------------|----------|--------------------|
| <b>Indebtedness at the beginning of the financial year</b> |                                  |                 |          |                    |
| i) Principal Amount  | 8,26,24,942.00                   | -               | -        | 8,26,24,942.00     |
| ii) Interest due but not paid                              | -                                | -               | -        | -                  |
| iii) Interest accrued but not due                          | -                                | -               | -        | -                  |
| Total (i+ii+iii)   | 8,26,24,942.00                   | -               | -        | 8,26,24,942.00     |
| <b>Change in Indebtedness during the financial year</b>    |                                  |                 |          |                    |
| * Addition   | 2,79,76,595.00                   | -               | -        | 2,79,76,595.00     |
| * Reduction  | (3,22,50,029.00)                 | -               | -        | (3,22,50,029.00)   |
| Net Change   | (42,73,434.00)                   | -               | -        | (42,73,434.00)     |
| <b>Indebtedness at the end of the financial year</b>       |                                  |                 |          |                    |
| i) Principal Amount  | 7,83,51,508.00                   | -               | -        | 7,83,51,508.00     |
| ii) Interest due but not paid                              | -                                | -               | -        | -                  |
| iii) Interest accrued but not due                          | -                                | -               | -        | -                  |
| Total (i+ii+iii)   | 7,83,51,508.00                   | -               | -        | 7,83,51,508.00     |

**VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL****A. Remuneration to Managing Director, Whole-time Directors and/or Manager:**

| S N. | Particulars of Remuneration   | Name of MD/WTD/ Manager |                       | Total Amount |
|------|---|-------------------------|-----------------------|--------------|
|      | Name  | Sandeep Sethi           | Gurupreet Sangla      | (Rs.)        |
|      | Designation   | Managing Director       | Jt. Managing Director |              |
| 1    | Gross salary  |                         |                       |              |
|      | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 13,75,000.00            | 13,75,000.00          | 27,50,000.00 |
|      | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | 25,810.00               | 16,290.00             | 42,100.00    |
|      | (c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961              | -                       | -                     | -            |
| 2    | Stock Option  | -                       | -                     | -            |
| 3    | Sweat Equity  | -                       | -                     | -            |
| 4    | Commission  | -                       | -                     | -            |
|      | - as % of profit  | -                       | -                     | -            |
|      | - others, specify   | -                       | -                     | -            |
| 5    | Others, please specify  | -                       | -                     | -            |
|      | Total (A)   | 14,00,810.00            | 13,91,290.00          | 27,92,100.00 |
|      | Ceiling as per the Act  | 84,00,000.00            | 84,00,000.00          | -            |

**B. Remuneration to other Directors**

| SN. | Particulars of Remuneration                  | Name of Directors          |            |                           |               |               | Total Amount |
|-----|--|----------------------------|------------|---------------------------|---------------|---------------|--------------|
|     |  | Harjit Kalra               | Aman Batra | Ratinder Pal Singh Bhatia | Roopal Sharma | Sanjay Sharma | (Rs.)        |
| 1   | Independent Directors                        |                            |            |                           |               |               |              |
|     | Fee for attending board / committee meetings | 5,000.00                   | 15,000.00  | 17,500.00                 | 17,500.00     | 2,500.00      | 57,500.00    |
|     | Commission                                   |                            |            |                           |               |               | -            |
|     | Others, please specify                       |                            |            |                           |               |               | -            |
|     | Total (1)                                    | 5,000.00                   | 15,000.00  | 17,500.00                 | 17,500.00     | 2,500.00      | 57,500.00    |
| 2   | Other Non-Executive Directors                |                            |            |                           |               |               | -            |
|     | Fee for attending board / committee meetings |                            |            |                           |               |               | -            |
|     | Commission                                   |                            |            |                           |               |               | -            |
|     | Others, please specify                       |                            |            |                           |               |               | -            |
|     | Total (2)                                    | -                          | -          | -                         | -             |               | -            |
|     | Total (B)=(1+2)                              | 5,000.00                   | 15,000.00  | 17,500.00                 | 17,500.00     | 2,500.00      | 57,500.00    |
|     | Total Managerial Remuneration                |                            |            |                           |               |               | 28,49,600.00 |
|     | Overall Ceiling as per the Act               | 1,00,000 per board meeting |            |                           |               |               |              |

**ETT LIMITED**

CIN: L22122DL1993PLC123728

**C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD**

| SN. | Particulars of Remuneration   | Name of Key Managerial Personnel | Total Amount |
|-----|---|----------------------------------|--------------|
|     | Name  | Puniti Sharma                    | (Rs.)        |
|     | Designation   | CFO & CS                         |              |
| 1   | Gross salary  |                                  |              |
|     | (a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961 | 15,61,848.00                     | 15,61,848.00 |
|     | (b) Value of perquisites u/s 17(2) Income-tax Act, 1961                             | -                                | -            |
|     | (c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961             | -                                | -            |
| 2   | Stock Option  | -                                | -            |
| 3   | Sweat Equity  | -                                | -            |
| 4   | Commission  | -                                | -            |
|     | - as % of profit  | -                                | -            |
|     | - others, specify   | -                                | -            |
| 5   | Others, please specify  | -                                | -            |
|     | Total   | 15,61,848.00                     | 15,61,848.00 |

**VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:**

| Type                                | Section of the Companies Act | Brief Description   | Details of Penalty / Punishment/ Compounding fees imposed | Authority [RD / NCLT/ COURT] | Appeal made, if any (give Details)  |
|-------------------------------------|------------------------------|---|---|------------------------------|---|
| <b>A. COMPANY</b>                   |                              |   |   |                              |   |
| Penalty                             | NA                           | Notice bearing reference no. LIST/COMP/537707/Reg.34-Mar18/988/2018-19 dated November 16, 2018 from BSE Ltd. ('BSE') regarding non-submission of Annual Report for the year ended March 31, 2018                                    | Penalty of Rs. 37,760/-                                   | BSE Limited                  | The Company has filed various representations before the BSE and requested for condonation of delay caused due to oversight and being the first instance of non-submission. The request for waiver of penalty levied on the Company is also laid forward. The matter is being followed with BSE for waiver of penalty and the Company is hopeful in getting the said waiver. The reply from BSE is awaited. |
| Penalty                             | NA                           | Notice no. LIST/COMP/REG 27(2) & REG 17 to 21/Sep-18/537707/960/2018-19 dated October 31, 2018 with respect to compliance of nomination and remuneration committee in Corporate Governance Report for the quarter ended 30-09-2018. | Penalty of Rs. 2,17,120/-                                 | BSE Limited                  | The Company had filed revised xbrl sheet of Corporate Governance Report for the quarter ended September 30, 2018 and requested for waiver of penalty, which was granted vide BSE e-mail dated November 15, 2018.  |
| Punishment                          |                              |   |   |                              |   |
| Compounding                         |                              |   |   |                              |   |
| <b>B. DIRECTORS</b>                 |                              |   |   |                              |   |
| Penalty                             |                              |   |   |                              |   |
| Punishment                          |                              |   |   |                              |   |
| Compounding                         |                              |   |   |                              |   |
| <b>C. OTHER OFFICERS IN DEFAULT</b> |                              |   |   |                              |   |
| Penalty                             |                              |   |   |                              |   |
| Punishment                          |                              |   |   |                              |   |
| Compounding                         |                              |   |   |                              |   |