



Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

- 1 Name of Listed Entity: ETT Limited
- 2 Scrip Code/Name of Scrip/Class of Security: 537707 / ETT Ltd / Equity
- 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
 - a. If under 31(1)(b) then indicate the report for Quarter ending : September 30, 2018
 - b. If under 31(1)(c) then indicate date of allotment/extinguishment

4 **Declaration:**

| | Particulars | Yes | No |
|---|--|-----|----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | No |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | | No |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | | No |

- 5 The tabular format for disclosure of holding of specified securities is as follows:-

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Table - I Summary Statement holding of specified securities

| Category (I) | Category of Shareholder (II) | Nos. of Shareholders (III) | No. of fully paid up Equity Share Held (IV) | No. of Partly Paid up Equity Shares Held (V) | No. of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding, as a % of total no of Shares (calculated as per SCRR 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares underlying outstanding convertible securities (including warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) As a % of (A+B+C2) | Number of Locked in Shares (XII) | | No of Shares pledged or otherwise encumbered (XIII) | | No of Equity Shares Held in Dematerialized form (XIV) |
|--------------|--------------------------------|----------------------------|---|--|---|--|--|---|---------|----------|-------------------------|---|--|----------------------------------|---------------------------------|---|---------------------------------|---|
| | | | | | | | | No. of Voting Rights | | | Total as a % of (A+B+C) | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (A) | Promoter & Promoter Group | 11 | 7267200 | 0 | 0 | 7267200 | 70.0881 | 7267200 | 0 | 7267200 | 70.0881 | 0 | 70.0881 | 0 | 0 | 0 | 0 | 7267200 |
| (B) | Public | 1577 | 3101460 | 0 | 0 | 3101460 | 29.9119 | 3101460 | 0 | 3101460 | 29.9119 | 0 | 29.9119 | 0 | 0 | 0 | 0 | 2202878 |
| (C) | Non Promoter - Non Public | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C1) | Shares Underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (C2) | Shares Held By Employee Trusts | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total A+B+C1+C2 | 1588 | 10368660 | 0 | 0 | 10368660 | 100.0000 | 10368660 | 0 | 10368660 | 100 | 0 | 100 | 0 | 0 | 0 | 0 | 9470078 |



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Table - II Statement showing shareholding pattern of the Promoter and Promoter Group

| S.No. | Category & Name of the Shareholders (I) | PAN (II) | Nos. of Shareholders (III) | No. of fully paid up Equity Share Held (IV) | No. of Partly Paid up Equity Shares Held (V) | No . of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) as a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares underlying outstanding convertible securities (including warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2) | Number of Locked in Shares (XII) | | No of Shares pledged or otherwise encumbered (XIII) | | No of Equity Shares Held in Dematerializ ed form (XIV) |
|-------|--|-------------|----------------------------------|---|--|--|---|---|---|---------|---------|--|--|--|--|--|---|--|---|
| | | | | | | | | | No. of voting rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (1) | Indian | | | | | | | | | | | | | | | | | | |
| (a) | Individual/Hindu Undivided Family | | 8 | 6111000 | 0 | 0 | 6111000 | 58.9372 | 6111000 | 0 | 6111000 | 58.9372 | 0 | 58.9372 | 0 | 0 | 0 | 0 | 6111000 |
| | Alka Sethi | AAZPS4838D | | 112500 | 0 | 0 | 112500 | 1.0850 | 112500 | 0 | 112500 | 1.0850 | 0 | 1.0850 | 0 | 0 | 0 | 0 | 112500 |
| | Gurupreet Sangla | APZPS2922M | | 900000 | 0 | 0 | 900000 | 8.6800 | 900000 | 0 | 900000 | 8.6800 | 0 | 8.6800 | 0 | 0 | 0 | 0 | 900000 |
| | Harvinder Singh | AAQPS9683R | | 900000 | 0 | 0 | 900000 | 8.6800 | 900000 | 0 | 900000 | 8.6800 | 0 | 8.6800 | 0 | 0 | 0 | 0 | 900000 |
| | Kuldeep Kaur | AIGPS1433B | | 375000 | 0 | 0 | 375000 | 3.6167 | 375000 | 0 | 375000 | 3.6167 | 0 | 3.6167 | 0 | 0 | 0 | 0 | 375000 |
| | Sandeep Sethi | AAZPS4839C | | 1541400 | 0 | 0 | 1541400 | 14.8660 | 1541400 | 0 | 1541400 | 14.8660 | 0 | 14.8660 | 0 | 0 | 0 | 0 | 1541400 |
| | Sanjay Arora | AAGPA8828G | | 1593900 | 0 | 0 | 1593900 | 15.3723 | 1593900 | 0 | 1593900 | 15.3723 | 0 | 15.3723 | 0 | 0 | 0 | 0 | 1593900 |
| | Satvinder Kaur | AAXPK8350J | | 600000 | 0 | 0 | 600000 | 5.7867 | 600000 | 0 | 600000 | 5.7867 | 0 | 5.7867 | 0 | 0 | 0 | 0 | 600000 |
| | Shakuntla Arora | AAGPA8830N | | 88200 | 0 | 0 | 88200 | 0.8506 | 88200 | 0 | 88200 | 0.8506 | 0 | 0.8506 | 0 | 0 | 0 | 0 | 88200 |
| (b) | Central Govt /state Govt (s)/ President Of India | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (c) | Financial Institutions/Banks | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (d) | Any other | | | | | | | | | | | | | | | | | | |
| | Other - Body Corporate | | 3 | 1156200 | 0 | 0 | 1156200 | 11.1509 | 1156200 | 0 | 1156200 | 11.1509 | 0 | 11.1509 | 0 | 0 | 0 | 0 | 1156200 |
| | Amici Securities Limited | AAACA6498D | | 147000 | 0 | 0 | 147000 | 1.4177 | 147000 | 0 | 147000 | 1.4177 | 0 | 1.4177 | 0 | 0 | 0 | 0 | 147000 |
| | Appreciate Fincap Private Limited | AAACA9411C | | 843600 | 0 | 0 | 843600 | 8.1361 | 843600 | 0 | 843600 | 8.1361 | 0 | 8.1361 | 0 | 0 | 0 | 0 | 843600 |
| | Drishti Overseas Pvt. Ltd. | AAACD8567C | | 165600 | 0 | 0 | 165600 | 1.5971 | 165600 | 0 | 165600 | 1.5971 | 0 | 1.5971 | 0 | 0 | 0 | 0 | 165600 |
| | Sub - Total | | 11 | 7267200 | 0 | 0 | 7267200 | 70.0881 | 7267200 | 0 | 7267200 | 70.0881 | 0 | 70.0881 | 0 | 0 | 0 | 0 | 7267200 |
| (2) | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individual(Non-Resident Individuals / Foriegn Individual) | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (d) | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| (e) | Any Other | | | | | | | | | | | | | | | | | | |
| | Sub - Total | | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| | Total Shareholding of Promoter and Promotor Group | | 11 | 7267200 | 0 | 0 | 7267200 | 70.0881 | 7267200 | 0 | 7267200 | 70.0881 | 0 | 70.0881 | 0 | 0 | 0 | 0 | 7267200 |

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Table - III Statement showing shareholding pattern of the Public shareholding

| S.No. | Category & Name of the Shareholder (I) | PAN (II) | Nos. of Shareholders (III) | No. of fully paid up Equity Share Held (IV) | No. of Partly Paid up Equity Shares Held (V) | No. of shares underlying Depository Receipts (VI) | Total Nos. Shares Held (VII) = (IV)+(V)+(VI) | Shareholding as a % of total no of Shares (calculated as per SCRR 1957) (VIII) As a % of (A+B+C2) | Number of Voting Rights held in each class of securities (IX) | | | | No of shares underlying outstanding convertible securities (including warrants) (X) | Shareholding as a % assuming full conversion of convertible securities (as a % of diluted share capital) (XI)=(VII)+(X) as a % of (A+B+C2) | Number of Locked in Shares (XII) | | No. of Shares pledged or otherwise encumbered (XIII) | | No. of Equity Shares Held in Dematerialized form (XIV) |
|-------|---|------------|----------------------------|---|--|---|--|---|---|---------|---------|-------------------------------------|---|--|----------------------------------|---------------------------------|--|---------------------------------|--|
| | | | | | | | | | No. of Voting Rights | | | Total as a % of Total Voting rights | | | No. (a) | As a % of total shares held (b) | No. (a) | As a % of total shares held (b) | |
| | | | | | | | | | Class X | Class Y | Total | | | | | | | | |
| (1) | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (b) | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (c) | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (d) | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (e) | Foreign Portfolio Investors | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (f) | Financial Institutions/banks | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (g) | Insurance Companies | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (h) | Provident Funds/ Pension Fund | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (i) | Any other | | | | | | | | | | | | | | | | | | |
| | Sub-total (B)(1) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (2) | Central Govt /state Govt/ President Of India | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| | Sub-total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (3) | Non-institutions | | | | | | | | | | | | | | | | | | |
| (a) | Individuals- (i) Individual Shareholders Holding Nominal share capital upto Rs. 2 lakhs. | | 1498 | 680062 | 0 | 0 | 680062 | 6.5588 | 680062 | 0 | 680062 | 6.5588 | 0 | 6.5588 | 0 | 0 | 0 | 0.0000 | 62190 |
| | (ii) Individual Shareholders Holding Nominal share capital in excess of Rs. 2 lakhs. | | 50 | 2208365 | 0 | 0 | 2208365 | 21.2985 | 2208365 | 0 | 2208365 | 21.2985 | 0 | 21.2985 | 0 | 0 | 0 | 0.0000 | 2017625 |
| | Kamal Singh | AICPJ6922C | | 109520 | 0 | 0 | 109520 | 1.0563 | 109520 | 0 | 109520 | 1.0563 | 0 | 1.0563 | 0 | 0 | 0 | 0.0000 | 109520 |
| | Naveen Bajaj | AAIPB9881C | | 161240 | 0 | 0 | 161240 | 1.5551 | 161240 | 0 | 161240 | 1.5551 | 0 | 1.5551 | 0 | 0 | 0 | 0.0000 | 161240 |
| (b) | NBFCs registered With RBI | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 |
| (c) | Employee Trust | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 |
| (d) | Overseas Depositories (holding DRs) (balancing figures) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 |
| (e) | Any Other | | | | | | | | | | | | | | | | | | |
| | Other - Body Corp | | 21 | 170974 | 0 | 0 | 170974 | 1.6489 | 170974 | 0 | 170974 | 1.6489 | 0 | 1.6489 | 0 | 0 | 0 | 0.0000 | 81004 |
| | Other - Individual HUF | | 8 | 42059 | 0 | 0 | 42059 | 0.4056 | 42059 | 0 | 42059 | 0.4056 | 0 | 0.4056 | 0 | 0 | 0 | 0.0000 | 42059 |
| | Sub-total (B)(3) | | 1577 | 3101460 | 0 | 0 | 3101460 | 29.9119 | 3101460 | 0 | 3101460 | 29.9119 | 0 | 29.9119 | 0 | 0 | 0 | 0.0000 | 2202878 |
| | Total Public Shareholding | | 1577 | 3101460 | 0 | 0 | 3101460 | 29.9119 | 3101460 | 0 | 3101460 | 29.9119 | 0 | 29.9119 | 0 | 0 | 0 | 0.0000 | 2202878 |



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Table - IV Statement showing shareholding pattern of the Non Promter - Non Public shareholder

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