

Annexure 3
FORM NO. MGT 9
EXTRACT OF ANNUAL RETURN
As on financial year ended on 31.03.2020

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1	CIN	L22122DL1993PLC123728
2	Registration Date	November 11, 1993
3	Name of the Company	ETT Limited
4	Category/Sub-category of the Company	Public Limited Company
5	Address of the Registered office & contact details	17, Hemkunt Colony, New Delhi - 110048 Tel and Fax No. : +91 11 4656 7575 E-mail : secretarial@ettgroup.in
6	Whether listed company	Yes
7	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Beetal Financial & Computer Services (P) Ltd. Beetal House, 3rd Floor,99, Madangir, Behind Local Shopping Centre,Near Dada Harsukhdas Mandir, New Delhi - 110 062 Tel No.: +91 11 2996 1281-83 E-mail : beetal@rediffmail.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

(All the business activities contributing 10 % or more of the total turnover of the company shall be stated)

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	Property Developers and Allied Services	68100	98.55

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES					NA
S.No	Name and address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section

IV. SHARE HOLDING PATTERN
(Equity share capital breakup as percentage of total equity)

(i) Category-wise Share Holding

	Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
		Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A.	Promoters									
(1)	Indian									
a)	Individual/ HUF	61,11,000	-	61,11,000	58.94%	61,11,000	-	61,11,000	58.94%	0.00%
b)	Central Govt			-	0.00%			-	0.00%	0.00%
c)	State Govt(s)			-	0.00%			-	0.00%	0.00%
d)	Bodies Corp.	11,56,200	-	11,56,200	11.15%	11,56,200	-	11,56,200	11.15%	0.00%
e)	Banks / FI			-	0.00%			-	0.00%	0.00%
f)	Any other			-	0.00%			-	0.00%	0.00%
	Sub Total (A) (1)	72,67,200	-	72,67,200	70.09%	72,67,200	-	72,67,200	70.09%	0.00%
(2)	Foreign									
a)	NRI Individuals			-	0.00%			-	0.00%	0.00%
b)	Other Individuals			-	0.00%			-	0.00%	0.00%
c)	Bodies Corp.			-	0.00%			-	0.00%	0.00%
d)	Any other			-	0.00%			-	0.00%	0.00%
	Sub Total (A) (2)	-	-	-	0.00%	-	-	-	0.00%	0.00%
	TOTAL (A)	72,67,200	-	72,67,200	70.09%	72,67,200	-	72,67,200	70.09%	0.00%
B.	Public Shareholding									
1.	Institutions									
a)	Mutual Funds			-	0.00%			-	0.00%	0.00%
b)	Banks / FI			-	0.00%			-	0.00%	0.00%
c)	Central Govt			-	0.00%			-	0.00%	0.00%
d)	State Govt(s)			-	0.00%			-	0.00%	0.00%
e)	Venture Capital Funds			-	0.00%			-	0.00%	0.00%
f)	Insurance Companies			-	0.00%			-	0.00%	0.00%
g)	FIs		-	0.00%			-	-	0.00%	0.00%
h)	Foreign Venture Capital Funds			-	0.00%			-	0.00%	0.00%
i)	Others (specify)			-	0.00%			-	0.00%	0.00%
	Sub-total (B)(1)	-	-	-	0.00%	-	-	-	0.00%	0.00%
2.	Non-Institutions									
a)	Bodies Corp.									
i)	Indian	81005	89970	1,70,975	1.65%	81001	89970	1,70,971	0.00%	0.00%
ii)	Overseas				0.00%			-	0.00%	0.00%
b)	Individuals									
i)	Individual shareholders holding nominal share capital upto Rs. 1 lakh	13,495	6,01,952	6,15,447	5.94%	13,721	6,01,952	6,15,673	5.94%	0.04%
ii)	Individual shareholders holding nominal share capital in excess of Rs 1 lakh	20,66,185	2,06,660	22,72,845	21.92%	20,66,185	2,06,660	22,72,845	21.92%	0.00%
c)	Others (specify)									
	HUF	42,188	-	42,188	0.41%	41,971	-	41,971	0.40%	-0.51%
	Non Resident Indians			-	0.00%			-	0.00%	0.00%

Category of Shareholders	No. of Shares held at the beginning of the year [As on 31-March-2019]				No. of Shares held at the end of the year [As on 31-March-2020]				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
Overseas Corporate Bodies			-	0.00%			-	0.00%	0.00%
Foreign Nationals			-	0.00%			-	0.00%	0.00%
Clearing Members	5	-	5	0.00%	-	-	-	0.00%	-100.00%
Trusts			-	0.00%			-	0.00%	0.00%
Foreign Bodies - D R			-	0.00%			-	0.00%	0.00%
Sub-total (B)(2)	22,02,878	8,98,582	31,01,460	29.91%	22,02,878	8,98,582	31,01,460	29.91%	0.00%
Total Public (B)	22,02,878	8,98,582	31,01,460	29.91%	22,02,878	8,98,582	31,01,460	29.91%	0.00%
C. Shares held by Custodian for GDRs & ADRs			-	0.00%			-	0.00%	0.00%
Grand Total (A+B+C)	94,70,078	8,98,582	1,03,68,660	100.00%	94,70,078	8,98,582	1,03,68,660	100.00%	0.00%

(ii) **Shareholding of Promoters**

SN	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged/ encumbered to total share	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Alka Sethi	1,12,500	1.085%	0	1,12,500	1.085%	0	0.00%
2	Gurupreet Sangla	9,00,000	8.680%	0	9,00,000	8.680%	0	0.00%
3	Harvinder Singh	9,00,000	8.680%	0	9,00,000	8.680%	0	0.00%
4	Kuldeep Kaur	3,75,000	3.617%	0	3,75,000	3.617%	0	0.00%
5	Shakuntla Arora	88,200	0.851%	0	88,200	0.851%	0	0.00%
6	Sandeep Sethi	15,41,400	14.866%	0	15,41,400	14.866%	0	0.00%
7	Sanjay Arora	15,93,900	15.372%	0	15,93,900	15.372%	0	0.00%
8	Satvinder Kaur	6,00,000	5.787%	0	6,00,000	5.787%	0	0.00%
9	Amici Securities Ltd.	1,47,000	1.418%	0	3,12,600	3.015%	0	112.65%
10	Appreciate Fincaap Pvt. Ltd.	8,43,600	8.136%	0	8,43,600	8.136%	0	0.00%

(iii) **Change in Promoters' Shareholding (please specify, if there is no change)**

SN	Particulars	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Amici Securities Limited						
	At the beginning of the year			1,47,000	1.42%	1,47,000	1.42%
	Changes during the year	20-09-2019	Allot	1,65,600	1.60%	3,12,600	3.01%
	At the end of the year			3,12,600	3.01%	3,12,600	3.01%
2	Drishti Overseas Pvt Ltd						
	At the beginning of the year			1,65,600	1.60%	1,65,600	1.60%
	Changes during the year	20-09-2019	Transfer	1,65,600	1.60%	-	0.00%
	At the end of the year		-		0.00%	-	0.00%

(iv) Shareholding Pattern of top ten Shareholders

(Other than Directors, Promoters and Holders of GDRs and ADRs):

SN	For each of the Top 10 shareholders	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Naveen Bajaj						
	At the beginning of the year			1,61,240	1.56%	1,61,240	1.56%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			1,61,240	1.56%	1,61,240	1.56%
2	Kamal Singh						
	At the beginning of the year			1,09,520	1.06%	1,09,520	1.06%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			1,09,520	1.06%	1,09,520	1.06%
3	Prashant Aggarwal						
	At the beginning of the year			87,000	0.84%	87,000	0.84%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			87,000	0.84%	87,000	0.84%
4	Ram Kishan						
	At the beginning of the year			74,730	0.72%	74,730	0.72%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			74,730	0.72%	74,730	0.72%
5	Neeru Sikka						
	At the beginning of the year			63,720	0.61%	63,720	0.61%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			63,720	0.61%	63,720	0.61%
6	Indomonex Private Limited						
	At the beginning of the year			53,000	0.51%	53,000	0.51%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			53,000	0.51%	53,000	0.51%
7	Sanjay Sharma						
	At the beginning of the year			51,000	0.49%	51,000	0.49%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			51,000	0.49%	51,000	0.49%
8	Baldev R. Jaggi						
	At the beginning of the year			51,000	0.49%	51,000	0.49%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			51,000	0.49%	51,000	0.49%
9	Rajesh Aggarwal						
	At the beginning of the year			51,000	0.49%	51,000	0.49%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			51,000	0.49%	51,000	0.49%
10	Harish Mahajan						
	At the beginning of the year			51,000	0.49%	51,000	0.49%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			51,000	0.49%	51,000	0.49%

(v) **Shareholding of Directors and Key Managerial Personnel:**

SN	Shareholding of each Directors and each Key Managerial Personnel	Date	Reason	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
				No. of shares	% of total shares	No. of shares	% of total shares
1	Sandeep Sethi, Managing Director						
	At the beginning of the year			15,41,400	14.87%	15,41,400	14.87%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			15,41,400	14.87%	15,41,400	14.87%
2	Gurupreet Sangla, Jt. Managing Director						
	At the beginning of the year			9,00,000	8.68%	9,00,000	8.68%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			9,00,000	8.68%	9,00,000	8.68%
3	Harvinder Singh, Director						
	At the beginning of the year			9,00,000	8.68%	9,00,000	8.68%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			9,00,000	8.68%	9,00,000	8.68%
4	Sanjay Arora, Director						
	At the beginning of the year			15,93,900	15.37%	15,93,900	15.37%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			15,93,900	15.37%	15,93,900	15.37%
5	Aman Batra, Director						
	At the beginning of the year			48,600	0.47%	48,600	0.47%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			48,600	0.47%	48,600	0.47%
6	Puniti Sharma, CFO & Company Secretary						
	At the beginning of the year			26,520	0.26%	26,520	0.26%
	Changes during the year			-	0.00%	-	0.00%
	At the end of the year			26,520	0.26%	26,520	0.26%

V. INDEBTEDNESS

Indebtedness of the Company including interest outstanding/accrued but not due for payment.

(Amt. in Rs.)

Particulars	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year				
i) Principal Amount	7,83,51,508.00	-	-	7,83,51,508.00
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	7,83,51,508.00	-	-	7,83,51,508.00
Change in Indebtedness during the financial year				
* Addition	5,38,56,328.00	-	-	5,38,56,328.00
* Reduction	(4,86,12,570.00)	-	-	(4,86,12,570.00)
Net Change	52,43,758.00	-	-	52,43,758.00
Indebtedness at the end of the financial year				
i) Principal Amount	8,35,95,266.67	-	-	8,35,95,266.67
ii) Interest due but not paid	-	-	-	-
iii) Interest accrued but not due	-	-	-	-
Total (i+ii+iii)	8,35,95,266.67	-	-	8,35,95,266.67

VI. REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

Not Applicable

S N.	Particulars of Remuneration	Name of MD/WTD/ Manager		Total Amount
1	Gross salary			
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	-	-	-
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-	-
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	-	-	-
2	Stock Option	-	-	-
3	Sweat Equity	-	-	-
4	Commission	-	-	-
	- as % of profit	-	-	-
	- others, specify	-	-	-
5	Others, please specify	-	-	-
	Total (A)	-	-	-
	Ceiling as per the Act	-	-	-

B. Remuneration to other Directors

SN.	Particulars of Remuneration	Name of Director				Total Amount (Rs.)
		Aman Batra	Ratinder Pal Singh Bhatia	Roopal Sharma	Sanjay Sharma	
1	Independent Directors					
	Fee for attending board / committee meetings	15,000.00	12,500.00	17,500.00	12,500.00	57,500.00
	Commission					-
	Others, please specify					-
	Total (1)	15,000.00	12,500.00	17,500.00	12,500.00	57,500.00
2	Other Non-Executive Directors					-
	Fee for attending board / committee meetings					-
	Commission					-
	Others, please specify					-
	Total (2)	-	-	-	-	-
	Total (B)=(1+2)	15,000.00	12,500.00	17,500.00	12,500.00	57,500.00
	Total Managerial Remuneration					57,500.00
	Overall Ceiling as per the Act	1,00,000 per Board Meeting				

C. Remuneration to Key Managerial Personnel other than MD/Manager/WTD

SN.	Particulars of Remuneration	Name of Key Managerial Personnel	Total Amount
	Name	Puniti Sharma	(Rs.)
	Designation	CFO & CS	
1	Gross salary		
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	15,82,944.00	15,82,944.00
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	-	-
	(c) Profits in lieu of salary under section 17(3) Income- tax Act, 1961	-	-
2	Stock Option	-	-
3	Sweat Equity	-	-
4	Commission	-	-
	- as % of profit	-	-
	- others, specify	-	-
5	Others, please specify	-	-
	Total	15,82,944.00	15,82,944.00

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:
Not Applicable

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
A. COMPANY					
Penalty					
Punishment					
Compounding					
B. DIRECTORS					
Penalty					
Punishment					
Compounding					
C. OTHER OFFICERS IN DEFAULT					
Penalty					
Punishment					
Compounding					