



NARESH VERMA & ASSOCIATES
COMPANY SECRETARIES

To,

The Board of Directors
ETT Limited
CIN: L22122DL1993PLC123728
17, Hemkunt Colony,
New Delhi 110048

Sir/ Madam,

Sub: Annual Secretarial Compliance Report for the Financial Year 2020-2021

We have been engaged by ETT Limited (hereinafter referred to as 'the Company') bearing CIN: L22122DL1993PLC123728 whose Equity Shares, are listed on BSE Limited to conduct an audit in terms of Regulation 24A of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI's Circular No. CIR/CFD/CMD1/27/2019 dated 08th February, 2019 and to issue the Annual Secretarial Compliance Report thereon.

It is the responsibility of the management of the Company to maintain records, devise proper systems to ensure compliance with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and to ensure that the systems are adequate and are operating effectively.

Our responsibility is to verify compliances by the Company with provisions of all applicable SEBI Regulations and circulars/ guidelines issued there under from time to time and issue a report thereon.

Our audit was conducted in accordance with Guidance Note on Annual Secretarial Compliance Report issued by the Institute of Company Secretaries of India and in a manner which involved such examinations and verifications as considered necessary and adequate for the said purpose.

Due to the pandemic caused by COVID-19 and prevailing lockdowns/ restrictions on movement of people imposed by the Government, for the purpose of issuing this report we have conducted our audit remotely based on the records and information made available to us by the Company electronically. Annual Secretarial Compliance Report is enclosed.

For Naresh Verma & Associates
Company Secretaries

Naresh Verma
FCS No.: 5403
C P No.:4424



Place: Delhi
Date: 28.06.2021

**Secretarial Compliance Report of ETT Limited
for the financial year ended 31st March, 2021**

We have examined:

- (a) all the documents and records made available to us and explanations provided by ETT Limited ('the listed entity');
- (b) filings/ submissions made by the listed entity to the BSE;
- (c) website of the listed entity;
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification;

for the financial year ended 31st March, 2021 ('review period'), in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992 ('SEBI Act') and the Regulations, circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956 ('SCRA'), rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India ('SEBI').

The specific Regulations, whose provisions and the circulars/ guidelines issued there under, have been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 – **Not Applicable as there was no reportable event during the review period;**
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 – **Not Applicable as there was no reportable event during the review period;**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; – **Not Applicable as there was no reportable event during the review period;**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 – **Not Applicable as there was no reportable event during the review period;**



(g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 – **Not Applicable as there was no reportable event during the review period;**

(h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; and circulars/ guidelines issued there under;

and based on the above examination, we hereby report that, during the review period:

(a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, **except in respect of matters specified below:-**

Sr. No	Compliance Requirement (Regulations/ circulars / guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
		NONE	

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:-

Sr. No.	Action Taken By	Details of violation	Details of action taken e.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary, if any
1.	BSE	Regulation 23 (9) Non-compliance with disclosure of related party transactions on consolidated basis for half year ended 30.09.2020	Basic Fine Rs. 175000 and GST Rs. 31500 Total Rs. 206500	The Company has applied for waiver of fine. However the request is pending with BSE.



(d) The listed entity has taken the following actions to comply with the observations made in previous reports: -

Sr. No.	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
1.	<p>Notice regarding non-submission of Annual Report Regulation 34 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 & SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2018/77 dated May 3, 2018</p> <p>Penalty of Rs. 32000 plus GST of Rs. 5760 i.e. Total Rs. 37760 fine imposed by BSE</p>	2018-2019	The Company filed request for waiver of penalty	The Company has received no response from BSE.

For Naresh Verma & Associates
Company Secretaries



Naresh Verma
FCS No.: 5403; C P No.:4424
UDIN: F005403C000526429



Place: Delhi

Date: 28.06.2021